

# Bonnes pratiques et processus de soumission

Mardi 28 mars 2022 à Tenerrdis





# Strategic Planning and Programming (EC)

## Ce que veulent voir les évaluateurs

_
_
-

NORK PROGRAMIN

EU POLICY PRIORITIES	Overall priorities of the European Union (Green Deal, Fit for the Digital Age,)
KEY STRATEGIC ORIENTATIONS	Set of strategic objectives within the EC policy priorities where R&I investments are expected to make a difference
IMPACT AREAS	Group of expected impacts highlighting the most important transformation to be fostered through R&I
EXPECTED IMPACTS = DESTINATIONS	Wider long term effects on society (including the environment), the economy and science, enabled by the outcomes of R&I investments (long term). It refers to the specific contribution of the project to the work programme expected impacts described in the destination. Impacts generally occur some time after the end of the project.
EXPECTED OUTCOMES = TOPICS	The expected effects, over the medium term, of projects supported under a given topic. The results of a project should contribute to these outcomes, fostered in particular by the dissemination and exploitation measures. This may include the uptake, diffusion, deployment, and/or use of the project's results by direct target groups. Outcomes generally occur during or shortly after the end of the project.
PROJECT RESULTS	What is generated during the project implementation. This may include, for example, know-how, innovative solutions, algorithms, proof of feasibility, new business models, policy recommendations, guidelines, prototypes, demonstrators, databases and datasets, trained researchers, new infrastructures, networks, etc. Most project results (inventions, scientific works, etc.) are 'Intellectual Property', which may, if appropriate, be protected by formal 'Intellectual Property Rights'





Europe Commi

## Participant portal

#### Administrative part (Part A): Participant portal

Abstract / Keywords / duration

PIC / Contact person / partner description

Ethics questionnaire

Budget

Proposal description (Part B):

Section 1-3: Excellence / Impact / Implementation
45 pages













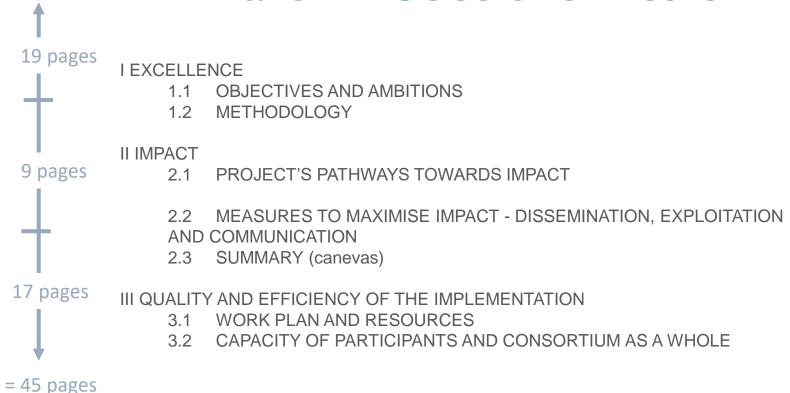
#### Part A

- 1. General Informations
  - Abstract
  - Declarations
- 2. Participants
  - Administrative data
  - Researchers involved in the proposal
  - Role of participating organization in the project
  - Up to 5 relevant publications, dataset, goods,...etc.
  - Up to 5 relevant projects or activities
  - Description of any significant infrastructure
  - Gender Equality Plan
- 3. Budget
- 4. Ethics & security issues
- 5. Other questions (if any)





## Part B - Sections 1 to 3







# Part B - Excellence (1/2) Proposal

#### 1. Excellence

- 1.1 Objectives and ambition [e.g. 4 pages]
- 1.2 Methodology [e.g. 15 pages]
  - Overall methodology
  - national or international research and innovation activities whose results will feed the project (1 page)
  - Interdisciplinarity (1/2 page)
  - Integration of human sciences (1/2 page)
  - Gender dimension (1 page)
  - Open science practices (1 page)
  - Data management (1 page)





## Part B - Excellence (2/2) Criteria

#### Project's objectives

- ✓ Clear, measurable, realistic, achievable
- ✓ Work ambitious and goes beyond the state-of-the-art
- ✓ Ground-breaking R&I, novel concepts and approaches, new products, services or business and organizational models
- ✓ R&I maturity of the proposed work in line with the topic

#### Methodology

- ✓ Clear and sound
- Expertise and methods from different disciplines will be brought together
- ✓ Gender dimension
- ✓ Open science practices implemented
- ✓ Research data management
- Integration of social sciences and humanities





# Part B - Impact (1/3) Proposal

- 2.1 Project's pathways towards impact [e.g. 4 pages]
  - a) Narrative explanation of project contribution towards impact
  - b) requirements and potential barriers
  - c) indication of the scale and significance of the project's contribution
- 2.2 Measures to maximise impact Dissemination, exploitation and communication [e.g. 4 pages] plan for the dissemination and exploitation including communication activities. strategy for the management of intellectual property,
- 3. Summary [e.g. 1 pages]





## Part B - Impact (2/3) Criteria

#### Pathways towards impact

- ✓ Credible
- Potential barriers to the expected outcomes and impacts identified
- Management of the potential negative impacts properly described
- ✓ Scale and significance of the project's contribution to the expected outcomes and impacts estimated and quantified

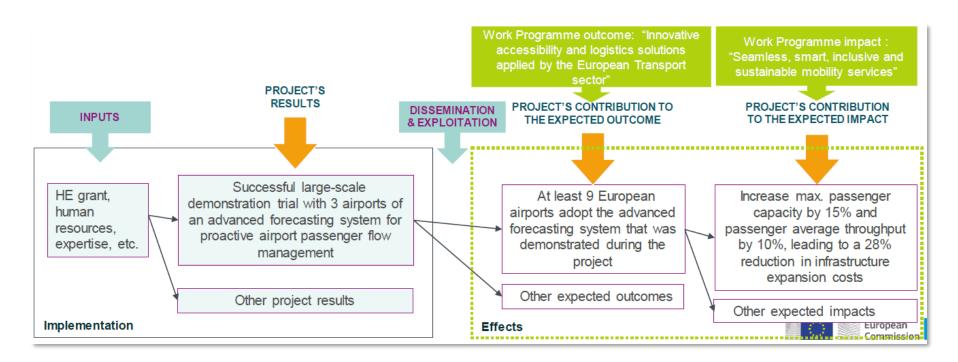
#### Measures to maximise impact

- Dissemination, exploitation and communication measures suitable for the project and of good quality
- √ Target groups identified
- ✓ Strategy for IPR outlined and suitable





## Part B - Impact (3/3) Criteria







# Part B - Implementation (1/2) Proposal

- 3. Quality and efficiency of the implementation
- 3.1 Work plan and resources [e.g. 14 pages including tables]
  - Brief presentation of the overall structure of the work plan;
  - Timing of the different work packages and their components (Gantt chart or similar);
  - Graphical presentation of the components showing how they inter-relate (Pert chart or similar).
  - Detailed work description, i.e.:
    - o a list of work packages (table 3.1a);
    - o a description of each work package (table 3.1b);
    - o a list of deliverables (table 3.1c);
    - o a list of milestones (table 3.1d);
    - o Person month table
    - o Subcontractors justification
    - o Expenses justification
- 3.2 Capacity of participants and consortium as a whole [e.g. 3 pages]





## Part B - Implementation (2/2) Criteria

#### Work plan, and the effort and resources

- ✓ Good quality and effective
- ✓ Progress can be monitored?
- √ Follow a logic structure
- Resources allocated in line with their objectives and deliverables
- Critical risks identified and proper risk mitigation measures proposed

#### Quality of participants and the consortium as a whole

- ✓ Consortium match the project's objectives
- ✓ Bring together the necessary disciplinary and interdisciplinary knowledge
- ✓ Include expertise in open science practices, and gender aspects of R&I, as appropriate
- ✓ Partners have access to critical infrastructure needed to carry out the project
- ✓ Participants complementing one another
- ✓ Each of them have a valid role / and adequate resource
- ✓ Industrial/commercial involvement in the project to ensure exploitation of the results



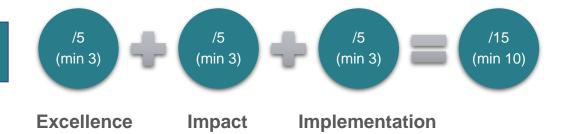


### Part B - Selection

#### Critères d'éligibilité

At least 3 legal entities. Each of the three must be established in a different EU Member State or Horizon 2020 associated country. All 3 legal entities must be independent of each other.

Critères de sélection







## Cas particulier: Lump Sum

#### Eligible costs will take the form of a lump sum

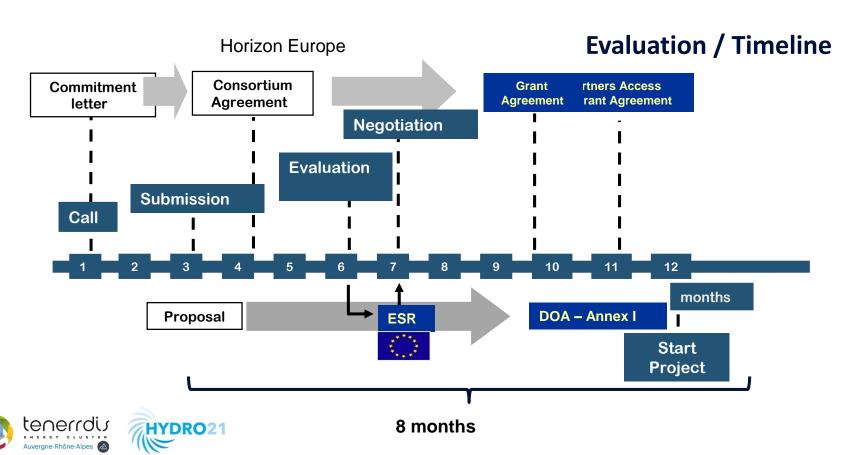
**Lump Sum = Montant forfaitaire.** 

- □ Pendant le montage: Rien ne change ! Budget décomposé par catégories de coûts, déclaré dans la part A et justifié dans la Part B.
- Pendant le projet: Suivi simplifié!
- ☐ Critère d'éligibilité:
  - ✓ they must be declared under one of the budget categories set out in Article 6.2 and Annex 2
  - √ the work must be properly implemented by the beneficiary in accordance with Annex 1
  - √ the deliverables/outputs must be achieved in the period set out in Article 4 (with the exception of deliverables/outputs relating to the submission of the final periodic report, which may be achieved afterwards)
- □ RECORD-KEEPING for Lump Sum:
  - ✓ Adequate records and supporting documents to prove proper implementation of the work as described in Annex 1
  - ✓ The beneficiaries must keep any adequate records and supporting documents to prove that their cost accounting practices have been applied in a consistent manner, based on objective criteria, regardless of the source of funding





## **Evaluation timing**





# Nous vous remercions pour votre attention

Théodulf ROUSSEAU

Consultant en montage de projets t.rousseau@absiskey.com Caroline FALK

Business Developper c.falk@absiskey.com